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**CERTIFICATE OF MAILING**

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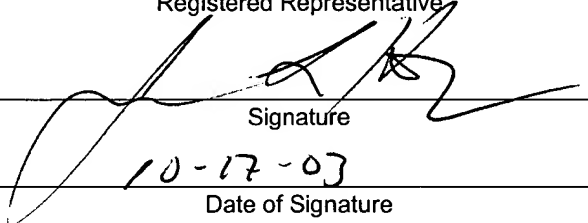


10-17-03

Date of Deposit

James L. Katz, Reg. No. 42,711

Name of Applicant, Assignee or  
Registered Representative

  
Signature

10-17-03

Date of Signature

**RECEIVED**  
OCT 23 2003  
**GROUP 3600**

Our Case No.: 10098/6

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Tritt et al.

Serial No.: 09/475,637

Filing Date: December 30, 1999

For: **SIMULTANEOUS REAL-TIME  
ACCESS TO FINANCIAL  
INFORMATION**

Examiner: A. Rudy

Group Art Unit No.: 3627

**THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Third Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by

the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

<b>No.</b>	<b>Date</b>	<b>Name</b>
6,513,019 B2	01/28/03	Lewis
5,940,809	08/17/99	Musmanno et al.

In accordance with 37 C.F.R. § 1.97(g),(h), this Third Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Third Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Third Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



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Attorney for Applicant(s)

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